

WEST HAVEN HOUSING AUTHORITY
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Equal Opportunity Housing

BOARD OF COMMISSIONERS

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December⁶, 2017

RE: Officer's Certificate for West Haven Housing Authority
Relating to the 2017 Annual Report

Issues Including:

*Housing Authority of the City of West Haven (Connecticut), Capital Fund
Housing Revenue Bonds, Series 2016, \$2,725,000, Dated: April 27, 2016*

I hereby certify that the Annual Report filed on December ⁶, 2017 constitutes the *annual financial information* required by the Continuing Disclosure Agreement. I further certify that the information complies with the Continuing Disclosure Agreement and the Rule as required. DAC shall be entitled to rely on this certificate.

If you have further questions about this matter please do not hesitate to call.

Very truly yours,



Karen Baldwin Kravetz
General Counsel, West Haven Housing Authority

enc

West Haven Housing Authority, CT

1 Housing Authority of the City of West Haven (Connecticut), Capital Fund Housing Revenue Bonds, Series 2016, \$2,725,000, Dated: April 27, 2016

Series 2016

Disclosure of any litigation against the Authority, the probable recovery in which would potentially have a material adverse impact on the Authority's ability to comply with its obligations with respect to the Bonds, or have a material adverse impact on the Authority's operations

West Haven Housing Authority does not intend to waive the protection of the attorney-client or work product privilege with respect to any information furnished below.

There are presently three routine eviction matters pending in Connecticut Housing Court. Please let me know if you will need specific information with regard to these matters. In addition, West Haven Housing Authority is party to the following non-eviction matters:

State of Ct. v. Siwek et al. Pension Revocation Action - This matter was commenced by the Attorney General's office in March of 2015. West Haven Housing Authority sought and obtained permission to intervene in 2017 to protect WHHA's interests as employer. The matter was scheduled for trial and is now postponed indefinitely. There is no likelihood of loss to West Haven Housing Authority.

Meadow Landing Corporation et al. v. Ceasar Anquillare et al. - Civil RICO claim - This matter was commenced by several Plaintiffs including West Haven Housing Authority in Connecticut Superior Court in November of 2015 to attempt to recoup funds from several co-conspirators and their related entities, of which four individuals have pled guilty to Conspiracy to Commit Bribery in federal court. The case was mediated and resolved with one defendant. It is likely the matter will be resolved in its entirety in 2018. There is no likelihood of loss to West Haven Housing Authority.

There is no litigation pending in any court seeking to restrain or enjoin the issuance or delivery of the 2016 Bonds or in any way contesting the validity or enforceability of the 2016 Bonds, or any proceedings of West Haven Housing Authority taken with respect to the transactions described herein, or contesting in any material respect the completeness or accuracy of the Placement Memorandum or any supplement or amendment thereto, contesting its ability to perform its obligations under the 2016 Bonds or the Indenture, or challenging the exclusion of interest of the 2016 Bonds from gross income for Federal income tax purposes.

Series 2016

Table I- Appropriations for Public Housing Modernization

<u>Federal Fiscal Year</u>	<u>Enacted Appropriation</u>
2017	None

Series 2016

Table II- Historical Obligation and Expenditure Compliance

<u>Year</u>	<u>Authority Allocation</u>	<u>Obligated Funds</u>	<u>Expended Funds</u>
2017	732,926	0	0

Series 2016

Table III- Historical Federal Funding Levels (Authority)

<u>Fiscal Year</u>	<u>Authority Allocations</u>	<u>% Change Year to Year</u>
2017	14,984,143	3.01%