

This Filing Applies to:

 County of Westmoreland (Commonwealth of Pennsylvania) Tax-exempt General Obligation Bonds, Refunding Series of 1999A, \$3,188,340.00, Dated: April 15, 1999
 960895SL5, 960895SN1

 County of Westmoreland (Commonwealth of Pennsylvania) General Obligation Bonds, Refunding Series of 1998, \$6,120,685.85, Dated: December 15, 1998
 960895RB8, 960895RC6, 960895RD4

 County of Westmoreland Commonwealth of Pennsylvania General Obligation Bonds, Series of 1997, \$10,494,470.45, Dated: May 1, 1997
 960895NX4, 960895NY2, 960895NZ9, 960895PA2, 960895PB0, 960895PC8, 960895PD6, 960895PE4, 960895PK0, 960895PL8, 960895PM6, 960895PN4, 960895PS3, 960895SP6, 960895SQ4, 960895PT1, 960895PU8, 960895PV6

4. County of Westmoreland Commonwealth of Pennsylvania General Obligation Refunding Bonds, Series G of 1993, \$30,680,518.755, Dated: September 1, 1993 960895NC0, 960895ND8, 960895NE6

# **TYPE OF FILING:**

If information is also available on the Internet, give URL: www.dacbond.com

## WHAT TYPE OF INFORMATION ARE YOU PROVIDING? (Check all that apply)

## **Financial / Operating Data Disclosures**

#### Rule 15c2-12 Disclosure

Annual Financial Information & Operating Data (Rule 15c2-12)

Audited Financial Statements or ACFR (Rule 15c2-12)

Failure to provide as required

### Additional / Voluntary Disclosure

Quarterly / Monthly Financial Information
Change in Fiscal Year / Timing of Annual Disclosure
Change in Accounting Standard
Interim / Additional Financial Information / Operating Data
Budget
Investment / Debt / Financial Policy
Information Provided to Rating Agency, Credit / Liquidity Provider or Other Third Party
Consultant Reports
Other Financial / Operating Data

#### **Event Filing**

#### Rule 15c2-12 Disclosure

<ul> <li>Non-payment Related Default</li> <li>Unscheduled Draw on Debt Service Reserve Reflecting Financial Difficulties</li> <li>Unscheduled Draw on Credit Enhancement Reflecting Financial Difficulties</li> <li>Substitution of Credit or Liquidity Provider, or Its Failure to Perform</li> <li>Adverse Tax Opinion or Event Affecting the Tax-exempt Status of the Security</li> <li>Modification to the Rights of Security Holders</li> <li>Bond Call</li> <li>Defeasance</li> <li>Release, Substitution or Sale of Property Securing Repayment of the Security</li> <li>Xandard &amp; Poor's revised the rating for FGIC now NPFG, MBIA now National, and National Public Finance Guaranty (NPFG).</li> <li>MBIA now National, FGIC Standard &amp; Poor's 12/01/2017</li> <li>Tender Offer / Secondary Market Purchases</li> <li>Merger / Consolidation / Acquisition and Sale of All or Substantially All Assets</li> <li>Bankruptcy, insolvency, receivership or similar event</li> <li>Successor, Additional or Change in Trustee</li> <li>Failure to Provide Event Filing Information as Required</li> <li>Financial Obligation - Incurrence and Agreement</li> <li>Financial Obligation - Event Reflecting Financial Difficulties</li> </ul>	Principal / Interest Payment Delinquency
<ul> <li>Unscheduled Draw on Credit Enhancement Reflecting Financial Difficulties</li> <li>Substitution of Credit or Liquidity Provider, or Its Failure to Perform</li> <li>Adverse Tax Opinion or Event Affecting the Tax-exempt Status of the Security</li> <li>Modification to the Rights of Security Holders</li> <li>Bond Call</li> <li>Defeasance</li> <li>Release, Substitution or Sale of Property Securing Repayment of the Security</li> <li>Wating Change</li> <li>Standard &amp; Poor's revised the rating for FGIC now NPFG, MBIA now National, and National Public Finance Guaranty (NPFG).</li> <li>MBIA now National, FGIC Standard &amp; Poor's 12/01/2017</li> <li>Tender Offer / Secondary Market Purchases</li> <li>Merger / Consolidation / Acquisition and Sale of All or Substantially All Assets</li> <li>Bankruptcy, insolvency, receivership or similar event</li> <li>Successor, Additional or Change in Trustee</li> <li>Financial Obligation - Incurrence and Agreement</li> </ul>	Non-payment Related Default
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<ul> <li>Adverse Tax Opinion or Event Affecting the Tax-exempt Status of the Security</li> <li>Modification to the Rights of Security Holders</li> <li>Bond Call</li> <li>Defeasance</li> <li>Release, Substitution or Sale of Property Securing Repayment of the Security</li> <li>Rating Change</li> <li>Standard &amp; Poor's revised the rating for FGIC now NPFG, MBIA now National, and National Public Finance Guaranty (NPFG).</li> <li>MBIA now National, FGIC Standard &amp; Poor's 12/01/2017</li> <li>Tender Offer / Secondary Market Purchases</li> <li>Merger / Consolidation / Acquisition and Sale of All or Substantially All Assets</li> <li>Bankruptcy, insolvency, receivership or similar event</li> <li>Successor, Additional or Change in Trustee</li> <li>Failure to Provide Event Filing Information as Required</li> <li>Financial Obligation - Incurrence and Agreement</li> </ul>	Unscheduled Draw on Credit Enhancement Reflecting Financial Difficulties
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Failure to Provide Event Filing Information as Required         Financial Obligation - Incurrence and Agreement	Bankruptcy, insolvency, receivership or similar event
Financial Obligation - Incurrence and Agreement	Successor, Additional or Change in Trustee
	Failure to Provide Event Filing Information as Required
Financial Obligation - Event Reflecting Financial Difficulties	Financial Obligation - Incurrence and Agreement
	Financial Obligation - Event Reflecting Financial Difficulties

#### Additional / Voluntary Disclosure

Amendment to Continuing Disclosure Undertaking

Change in Obligated Person

Notice to Investor Pursuant to Bond Documents

Communication From the Internal Revenue Service

Bid For Auction Rate or Other Securities

Capital or Other Financing Plan

Litigation / Enforcement Action

Change of Tender Agent, Remarketing Agent or Other On-going Party

Derivative or Other Similar Transaction

Other Event-based Disclosures

# **Asset-Backed Securities Filing**

## Additional / Voluntary Disclosure

Initial Asset-Backed Securities Disclosure (SEC Rule 15Ga-1(c)(1))

Quarterly Asset-Backed Securities Disclosure (SEC Rule 15Ga-1(c)(2)(i))

Annual Asset-Backed Securities Disclosure (SEC Rule 15Ga-1(c)(2)(ii))

Other Asset-Backed Securities Disclosure (e.g. notice of termination of duty to file reports pursuant to SEC Rule 15Ga-1(c)(3))

## **Disclosure Dissemination Agent Contact:**

Name: DAC Address: 315 East Robinson Street Suite 300 City: Orlando State: FL Zip Code: 32801-1674 Telephone: 407 515 - 1100 Fax: 407 515 - 6513 Email Address: emmaagent@dacbond.com Relationship to Issuer: Dissemination Agent

## **Certification Authorized By:**

/s/ <u>Meghan McCandless</u> Name: Meghan McCandless Title: Director, Financial Services Entity: Westmoreland County, PA