

Self-Liquidity Worksheet

Institution Name:	Northeastern University
Date of reported information:	6/30/10
Name of person completing this form:	Samuel Solomon
Title:	Treasurer
Phone Number/Email:	617-373-2597 sa.solomon@neu.edu

ASSETS	\$ Amount (\$000)	
<i>DAILY LIQUIDITY--only include investments which can be liquidated on a same day</i>		
Money Market Funds--SEC 2a-7 compliant and Aaa-rated by Moody's	\$ 207,781	
Money Market Funds--SEC 2a-7 compliant but not rated by Moody's		
Checking and deposit accounts at P-1 rated bank	\$ 24,775	
Repurchase Agreements--Overnight and Collateralized by Treasuries/Agencies; P-1 rated Counterparty		
US Treasuries & Aaa-rated Agencies with less than 3 year maturity which have daily liquidity; <i>these amounts should exclude any amounts used in securities lending programs and must be available from custodian on same-day basis</i>	\$ 49,400	
US Treasuries & Aaa-rated Agencies with greater than 3 year maturity which have daily liquidity; <i>these amounts should exclude any amounts used in securities lending programs and must be available from custodian on same-day basis</i>	\$ -	
Short-term investment funds at P-1 rated bank which have been reviewed and approved by Moody's		
Subtotal	\$ 281,956	
<i>WEEKLY LIQUIDITY- should not include securities held by third party LP or LLCs</i>		
Fixed Income: P-1 Rated Commercial Paper		
Fixed Income: Publicly Traded Fixed Income Securities rated at least Aa3		
Fixed Income: Other Investment Grade Publicly Traded Holdings	\$ 16,600	
Fixed Income: Bond Funds	\$ 27,900	
Equities: Exchange Traded Equity (ownership of shares of stock)		
Equities: Equity Funds	\$ 94,000	
Other	\$ -	
Subtotal	\$ 138,500	
<i>LONGER TERM LIQUIDITY</i>		
Funds, vehicles, investments that allow withdrawals with less than one month notice (should not include securities held by third parties, such as LP or LLC structures)		
Funds, vehicles, investments that allow withdrawals with one month notice or longer	\$ 319,590	
Subtotal	\$ 319,590	